



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/829,598	04/22/2004	Jason A. Graetz	CTJPL010A

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
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 IRVINE, CA 92614

CONFIRMATION NO. 6855

## FORMALITIES LETTER



\*OC000000013092921\*

Date Mailed: 06/28/2004



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

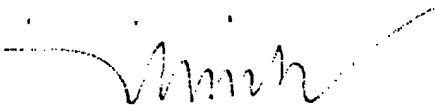
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

07/20/2004 HDEMSS1 00000034 10829598

01 FC:2051 65.00 DP

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in cursive script, appearing to read "Mm h", is written above a horizontal line.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**PATENT**

Case Docket No. CTJPL.010A

Date: July 15, 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Jason A. Graetz, et al.  
App. No. : 10/829,598  
Filed : April 22, 2004  
For : HIGH-CAPACITY  
NANOSTRUCTURED  
GERMANIUM-CONTAINING  
MATERIALS AND LITHIUM  
ALLOYS THEREOF  
Group Art Unit : 1745

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 15, 2004

(Date)

Pui Tong Ho, Reg. No. 44,155

**TRANSMITTAL LETTER**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**ATTENTION: MAIL STOP MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on June 28, 2004, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with seven (7) references.
- (X) Return prepaid postcard.

**PATENT**

Case Docket No. CTJPL.010A

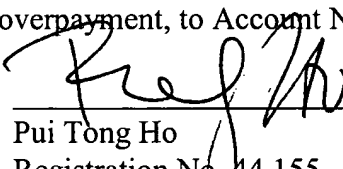
Date: July 15, 2004

(X) Fees as calculated below:

FEE FOR EXTENSION OF TIME (LARGE ENTITY)	\$ 0
SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL OF ABOVE CALCULATIONS	\$ 130
REDUCTION BY ½ FOR FILING BY SMALL ENTITY. Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ -65	
TOTAL FEES SUBMITTED HEREWITH	\$ 65

(X) A check in the amount of \$65 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



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